

## Introductory sub-table (I)(a)

|  |                                      |  |   |
|--|--------------------------------------|--|---|
| <b>Name of the Company : KANCO TEA &amp; INDUSTRIES LIMITED</b>  |                                      |  |   |
| <b>Scrip Code :</b>  |                                      |  |   |
| <b>Name of the scrip :</b>   |                                      |  |   |
| <b>Class of security : EQUITY</b>  |                                      |  |   |
| <b>Quarter ended : 31.12.2013</b>  |                                      |  |   |
|  |                                      |  |   |
| <b>Partly paid-up shares:-</b>   | <b>No. of partly paid-up shares</b>  | <b>As a % of total no. of partly paid-up shares</b>              | <b>As a % of total no. of shares of the company</b>   |
| Held by promoter/promoter group  | 0                                    | 0.00   | 0.00  |
| Held by public   | 0                                    | 0.00   | 0.00  |
| <b>Total</b>   | <b>0</b>                             | <b>0.00</b>  | <b>0.00</b>   |
|  |                                      |  |   |
| <b>Outstanding convertible securities:-</b>  | <b>No. of outstanding securities</b> | <b>As a % of total no. of outstanding convertible securities</b> | <b>As a % of total no. of shares of the company, assuming full conversion of the convertible securities</b> |
| Held by promoter/promoter group  | 0                                    | 0.00   | 0.00  |
| Held by public   | 0                                    | 0.00   | 0.00  |
| <b>Total</b>   | <b>0</b>                             | <b>0.00</b>  | <b>0.00</b>   |
|  |                                      |  |   |
| <b>Warrants:-</b>  | <b>No. of warrants</b>               | <b>As a % of total no. of warrants</b>                           | <b>As a % of total no. of shares of the company, assuming full conversion of warrants</b>                   |
| Held by promoter/promoter group  | 0                                    | 0.00   | 0.00  |
| Held by public   | 0                                    | 0.00   | 0.00  |
| <b>Total</b>   | <b>0</b>                             | <b>0.00</b>  | <b>0.00</b>   |
|  |                                      |  |   |
| <b>Total paid-up capital of the company, assuming full conversion of warrants and convertible securities</b> | <b>1707609</b>                       | <b>100</b>   |   |

**Statement Showing Shareholding Pattern**

| Category code | Category of Shareholder   | Number of Shareholders | Table (I)(a)           |  | Total shareholding as a percentage of total number of shares |                            | Shares Pledged or otherwise encumbered |                          |
|---------------|---|------------------------|------------------------|--|--|----------------------------|--|--------------------------|
|               |   |                        | Total number of shares | Number of shares held in dematerialized form | As a percentage of(A+B) <sup>1</sup>                         | As a percentage of (A+B+C) | Number of shares                       | As a percentage          |
| (I)           | (II)  | (III)                  | (IV)                   | (V)  | (VI)   | (VII)                      | (VIII)                                 | (IX)=<br>(VIII)/(IV)*100 |
| <b>(A)</b>    | <b>Shareholding of Promoter and Promoter Group<sup>2</sup></b>                  |                        |                        |  |  |                            | <b>N.A</b>                             | <b>N.A</b>               |
| <b>1</b>      | <b>Indian</b>   |                        |                        |  |  |                            |  |                          |
| (a)           | Individuals/ Hindu Undivided Family   | 4                      | 502797                 | 502797                                       | 29.44  | 29.44                      |  |                          |
| (b)           | Central Government/ State Government(s)   |                        |                        |  |  |                            |  |                          |
| (c)           | Bodies Corporate  | 7                      | 697984                 | 697984                                       | 40.87  | 40.87                      |  |                          |
| (d)           | Financial Institutions/ Banks   |                        |                        |  |  |                            |  |                          |
| (e)           | Any Others(Specify)   |                        |                        |  |  |                            |  |                          |
| (e-i)         |   |                        |                        |  |  |                            |  |                          |
| (e-ii)        |   |                        |                        |  |  |                            |  |                          |
|               | <b>Sub Total(A)(1)</b>  | <b>11</b>              | <b>1200781</b>         | <b>1200781</b>                               | <b>70.32</b>   | <b>70.32</b>               | <b>0</b>                               | <b>0.00</b>              |
| <b>2</b>      | <b>Foreign</b>  |                        |                        |  |  |                            |  |                          |
| a             | Individuals (Non-Residents<br>Individuals/<br>Foreign Individuals)              |                        |                        |  |  |                            |  |                          |
| b             | Bodies Corporate  |                        |                        |  |  |                            |  |                          |
| c             | Institutions  |                        |                        |  |  |                            |  |                          |
| d             | Qualified Foreign Investor  |                        |                        |  |  |                            |  |                          |
| e             |   |                        |                        |  |  |                            |  |                          |
| e-i           |   |                        |                        |  |  |                            |  |                          |
| e-ii          |   |                        |                        |  |  |                            |  |                          |
|               | <b>Sub Total(A)(2)</b>  | <b>0</b>               | <b>0</b>               | <b>0</b>                                     | <b>0.00</b>  | <b>0.00</b>                | <b>0</b>                               | <b>0.00</b>              |
|               | <b>Total Shareholding of Promoter and Promoter Group (A)=<br/>(A)(1)+(A)(2)</b> | <b>11</b>              | <b>1200781</b>         | <b>1200781</b>                               | <b>70.32</b>   | <b>70.32</b>               | <b>0</b>                               | <b>0.00</b>              |
| <b>(B)</b>    | <b>Public shareholding</b>  |                        |                        |  |  |                            | <b>N.A</b>                             | <b>N.A</b>               |
| <b>1</b>      | <b>Institutions</b>   |                        |                        |  |  |                            |  |                          |
| (a)           | Mutual Funds/ UTI   | 6                      | 5294                   | 5268   | 0.31   | 0.31                       |  |                          |
| (b)           | Financial Institutions / Banks  | 3                      | 129                    | 6  | 0.01   | 0.01                       |  |                          |
| (c)           | Central Government/ State Government(s)   | 1                      | 1497                   | 0  | 0.09   | 0.09                       |  |                          |



| SL. No. | Notes |
|---------|-------|
|         |       |
|         |       |
|         |       |
|         |       |
|         |       |

**Statement showing holding of securities (including shares, warrants, convertible securities) of persons**

**(I)(b) belonging to the category "Promoter and Promoter Group"**

| Sr. No. | Name of the shareholder           | Details of Shares held |                                       | Encumbered shares (*) |                    |   | Details of warrants     |   | Details of convertible securities     |   | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|---------|-----------------------------------|------------------------|---------------------------------------|-----------------------|--------------------|---|-------------------------|---|---------------------------------------|---|--|
|         |                                   | Number of shares held  | As a % of grand total (A) +(B) +( C ) | No.                   | As a percentage    | As a % of grand total (A)+(B)+(C) of sub-clause (I)(a ) | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities held | As a % total number of convertible securities of the same class |  |
| (I)     | (II)                              | (III)                  | (IV)                                  | (V)                   | (VI)=(V)/(III)*100 | (VII)   | (VIII)                  | (IX)  | (X)                                   | (XI)  | (XII)  |
| 1       | STUTI KANORIA                     | 68574                  | 4.02                                  | 0                     | 0.00               | 0.00  | 0                       | 0.00  | 0                                     | 0.00  | 4.02   |
| 2       | ANURADHA KANORIA                  | 183147                 | 10.73                                 | 0                     | 0.00               | 0.00  | 0                       | 0.00  | 0                                     | 0.00  | 10.73  |
| 3       | UMANG KANORIA (HUF)               | 155535                 | 9.11                                  | 0                     | 0.00               | 0.00  | 0                       | 0.00  | 0                                     | 0.00  | 9.11   |
| 4       | SATVIK KANORIA                    | 95541                  | 5.60                                  | 0                     | 0.00               | 0.00  | 0                       | 0.00  | 0                                     | 0.00  | 5.60   |
| 5       | OCL INVESTMENTS & LEASING LIMITED | 12944                  | 0.76                                  | 0                     | 0.00               | 0.00  | 0                       | 0.00  | 0                                     | 0.00  | 0.76   |
| 6       | E T RESOURCES PVT LTD             | 279084                 | 16.34                                 | 0                     | 0.00               | 0.00  | 0                       | 0.00  | 0                                     | 0.00  | 16.34  |
| 7       | S T INVESTMENTS PVT LTD           | 27006                  | 1.58                                  | 0                     | 0.00               | 0.00  | 0                       | 0.00  | 0                                     | 0.00  | 1.58   |
| 8       | INNOVA PROPERTIES PVT LTD         | 12000                  | 0.70                                  | 0                     | 0.00               | 0.00  | 0                       | 0.00  | 0                                     | 0.00  | 0.70   |
| 9       | FACITCON INVESTMENTS PVT LIMITED  | 86650                  | 5.07                                  | 0                     | 0.00               | 0.00  | 0                       | 0.00  | 0                                     | 0.00  | 5.07   |
| 10      | NIDHI PRIVATE LIMITED             | 31000                  | 1.82                                  | 0                     | 0.00               | 0.00  | 0                       | 0.00  | 0                                     | 0.00  | 1.82   |
| 11      | SATVIK WELFARE TRUST              | 249300                 | 14.60                                 | 0                     | 0.00               | 0.00  | 0                       | 0.00  | 0                                     | 0.00  | 14.60  |
| 12      |                                   |                        | 0.00                                  | 0                     | #DIV/0!            | 0.00  | 0                       | 0.00  | 0                                     | 0.00  | 0.00   |
| 13      |                                   |                        | 0.00                                  | 0                     | #DIV/0!            | 0.00  | 0                       | 0.00  | 0                                     | 0.00  | 0.00   |
| 14      |                                   |                        | 0.00                                  | 0                     | #DIV/0!            | 0.00  | 0                       | 0.00  | 0                                     | 0.00  | 0.00   |

|              |  |                |              |          |             |             |          |             |          |             |              |
|--------------|--|----------------|--------------|----------|-------------|-------------|----------|-------------|----------|-------------|--------------|
| 15           |  | 0.00           | 0            | #DIV/0!  | 0.00        | 0           | 0.00     | 0           | 0.00     | 0.00        |              |
| 16           |  | 0.00           | 0            | #DIV/0!  | 0.00        | 0           | 0.00     | 0           | 0.00     | 0.00        |              |
| 17           |  | 0.00           | 0            | #DIV/0!  | 0.00        | 0           | 0.00     | 0           | 0.00     | 0.00        |              |
| 18           |  | 0.00           | 0            | #DIV/0!  | 0.00        | 0           | 0.00     | 0           | 0.00     | 0.00        |              |
| 19           |  | 0.00           | 0            | #DIV/0!  | 0.00        | 0           | 0.00     | 0           | 0.00     | 0.00        |              |
| 20           |  | 0.00           | 0            | #DIV/0!  | 0.00        | 0           | 0.00     | 0           | 0.00     | 0.00        |              |
| 21           |  | 0.00           | 0            | #DIV/0!  | 0.00        | 0           | 0.00     | 0           | 0.00     | 0.00        |              |
| 22           |  | 0.00           | 0            | #DIV/0!  | 0.00        | 0           | 0.00     | 0           | 0.00     | 0.00        |              |
| 23           |  | 0.00           | 0            | #DIV/0!  | 0.00        | 0           | 0.00     | 0           | 0.00     | 0.00        |              |
| 24           |  | 0.00           | 0            | #DIV/0!  | 0.00        | 0           | 0.00     | 0           | 0.0      | 0.00        |              |
| 25           |  | 0.00           | 0            | #DIV/0!  | 0.00        | 0           | 0.00     | 0           | 0.00     | 0.00        |              |
| <b>TOTAL</b> |  | <b>1200781</b> | <b>70.32</b> | <b>0</b> | <b>0.00</b> | <b>0.00</b> | <b>0</b> | <b>0.00</b> | <b>0</b> | <b>0.00</b> | <b>70.32</b> |

Note :- (\*) - More than one folio clubbed

**(\*) The term “encumbrance” has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011**



**(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category “Public” and holding more than 5% of the total number of shares of the company**

| Sr. No.      | Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them | Number of shares | Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} | Details of warrants |   | Details of convertible securities     |  | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|--------------|---|------------------|--|---------------------|---|---------------------------------------|--|--|
|              |   |                  |  | Number of warrants  | As a % total number of warrants of the same class | Number of convertible securities held | % w.r.t total number of convertible securities of the same class |  |
| 1            | NIL   |                  | 0.00   | 0                   | 0.00  | 0                                     | 0.00   | 0.00   |
|              |   |                  |  |                     |   |                                       |  |  |
|              |   |                  |  |                     |   |                                       |  |  |
|              |   |                  |  |                     |   |                                       |  |  |
|              |   |                  |  |                     |   |                                       |  |  |
|              |   |                  |  |                     |   |                                       |  |  |
|              |   |                  |  |                     |   |                                       |  |  |
|              |   |                  |  |                     |   |                                       |  |  |
|              |   |                  |  |                     |   |                                       |  |  |
|              |   |                  |  |                     |   |                                       |  |  |
|              |   |                  |  |                     |   |                                       |  |  |
| <b>TOTAL</b> |   | <b>0</b>         | <b>0.00</b>  | <b>0</b>            | <b>0.00</b>                                       | <b>0</b>                              | <b>0.00</b>  | <b>0.00</b>  |



**(I)(d) Statement showing details of locked-in shares**

| <b>Sr. No.</b> | <b>Name of the shareholder</b> | <b>Number of locked-in shares</b> | <b>Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}</b> | <b>Promoter/promotar Grup/Public</b> |
|----------------|--------------------------------|-----------------------------------|---|--------------------------------------|
| 1              | N.A                            |                                   |   | 0.00                                 |
|                |                                |                                   |   |                                      |
|                |                                |                                   |   |                                      |
|                |                                |                                   |   |                                      |
|                |                                |                                   |   |                                      |
|                |                                |                                   |   |                                      |
|                |                                |                                   |   |                                      |
|                |                                |                                   |   |                                      |
|                |                                |                                   |   |                                      |
|                |                                |                                   |   |                                      |
| <b>TOTAL</b>   |                                | <b>0</b>                          |   | <b>0.00</b>                          |

**(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares**

| Sr. No.      | Name of the DR Holder | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | Number of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|--------------|-----------------------|---|---|---|
| 1            | N.A                   | N.A   |   | <b>0.00</b>   |
|              |                       |   |   |   |
|              |                       |   |   |   |
|              |                       |   |   |   |
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|              |                       |   |   |   |
|              |                       |   |   |   |
|              |                       |   |   |   |
|              |                       |   |   |   |
| <b>TOTAL</b> |                       |   | <b>0</b>                                    | <b>0.00</b>   |